

TO/PCT Rec'd 14 JAN 2002

Due Date: December 18, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Ulrich Schubert et al.	Examiner:	Not yet assigned
Serial No.:	09/913,927	Group Art Unit:	Not yet assigned
Filed:	August 20, 2001	Docket:	G&C 151.2-US-WO
Title:	SYNTHETIC PEPTIDE OF REGULATORY VIRUS PROTEIN R (VPR) OF HUMAN IMMUNODEFICIENCY VIRUS TYPE 1 (HIV-1) AND THE UTILIZATION THEREOF		

**CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on October 26, 2001.

By: 

Name: Karen S. Canady

BOX PCT  
Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- ☒ Verified statement to establish small entity status.
- ☒ Signed Combined Declaration and Power of Attorney.
- ☒ Form PTO-1533 – Part 1 – Notification of Missing Requirements Under 35 U.S.C. §371 in the United States Designated/Elected Office (Part 2 was not provided).
- ☒ PTO-2038 Credit Card Payment Form for the amount of \$65.00 for the Surcharge Fee is enclosed herewith.
- ☒ Return postcard.

Please consider this a **PETITION FOR EXTENSION OF TIME** for a sufficient number of months to enter these papers, if appropriate.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

**CUSTOMER NUMBER 22462.**

**GATES & COOPER LLP**  
Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, CA 90045  
(310) 641-8797

By: 

Name: Karen S. Canady  
Reg. No.: 39,927  
KSC/sjm

(PTO TRANSMITTAL - GENERAL)

☒ Commissioner For Patents, Box PCT, United States Patent and Trademark Office, Washington, D.C. 20231, www.uspto.gov

U.S. APPLICATION NUMBER NO.

09/913,927

FIRST NAMED APPLICANT

Schubert Ulrich

ATTY. DOCKET NO.

151.2-US-WO

INTERNATIONAL APPLICATION NO.

PCT/DE00/00525

I.A. FILING DATE

02/19/2000

PRIORITY DATE

02/19/1999

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CONFIRMATION NO. 5585

371 FORMALITIES LETTER

OC000000006925882

Date Mailed: 10/18/2001

11/18/01 Miss. PB-1  
12/18/01 Miss. PB-2  
4/18/02 MIP Start

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- o U.S. Basic National Fees
- o Indication of Small Entity Status
- o Priority Document
- o Copy of IPE Report
- o Copy of references cited in ISR
- o Copy of the International Application
- o Copy of the International Search Report
- o Oath or Declaration
- o Preliminary Amendments
- o Small Entity Statement

RECEIVED

OCT 22 2001

Gates &amp; Cooper LLP

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- o Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- o \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$65** for a Small Entity:

- **\$65** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

VONDA M WALLACE

Telephone: (703) 305-3736

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/913,927	PCT/DE00/00525	151.2-US-WO